

COMPLAINT

of the whistleblower¹.....
(name, surname, legal entity name)

residing at (located at)
(address, registered office address of the legal entity)

Tel.

E-mail:

.... 20.....

To the Ombudsperson for Academic Ethics and Procedures

1. Whose (name and surname of the natural person / legal entity name) and which actions (action or omission) are you complaining about?

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2. Data on a possible violation of academic ethics and/or procedures:
(please list the circumstances of commission of actions (action or omission), which potentially violate academic ethics and/or procedures)

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¹ **Note.** If you have been granted powers to submit a complaint on behalf of another person, please attach a copy of the power of attorney approved in accordance with the procedure established by laws.

3. What are you asking the Ombudsperson?

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4. Which persons, who can confirm the actions (action or omission) which you complaint about, would you like to list?

(please indicate names, surnames and contact data of the persons, and the circumstances which each of them can confirm)

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5. Was a research and higher education institution addressed for that same possible violation of academic ethics and/or procedures?

yes (Please indicate when and under which circumstances the institution was addressed. If the application was examined, please enclose a copy of the institution’s decision on the actions which you complained about)

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no* (please provide supporting data that the complaint (report) would be examined unobjectively at the respective research and higher education institution):

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* In promoting self-regulation of a research and higher education institution and pursuant to Article 17(16) of the Law on Higher Education and Research of the Republic of Lithuania, the Ombudsperson *“may refuse to examine a complaint*

(report) regarding an allegation of academic ethics and, after informing the whistleblower, transfer the complaint (report) to the academic ethics or another dispute resolution commission of the research and higher education institution, which supervises the observance of academic ethics, if the academic ethics or another dispute resolution commission of the respective research and higher education institution has not yet been addressed for the same allegation of academic ethics”.

6. Was another institution addressed for the actions (action or omission) being complained about?

(If so, please indicate when, where and under what circumstances. Please insert a copy of the received response of the institution)

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7. Has a complaint/report regarding actions (action or omission) that possibly violate academic ethics and/or procedures been examined in court?

(If it is pending before a court, please indicate when and which court was addressed for the actions being complained about. If a complaint already was examined, please include a copy of a court ruling)

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8. Is there a pending pre-trial investigation or legal proceedings related to the actions (action or omission) complained about?

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9. Data (evidence) substantiating the complaint, documents (their copies), correspondence, records, etc., attached.

I have evidence

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 I do not have any evidence
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ANNEXES:

1., pages;
2., pages;
3., pages.

.....
(name, surname)

.....
(signature)

By submitting a complaint, I **confirm** that I have been properly informed of the fact that the Office of the Ombudsperson shall be a personal data controller.

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(name, surname)

.....
(signature)

I understand that all the personal data that I have submitted and/or collected from other sources, which are necessary for the investigation of the complaint, shall be processed and/or transferred to third persons, i.e. other responsible institutions and establishments pursuant to clause 8 of Article 17(11) and Articles 17(13) and 17(16) of the Law on Higher Education and Research of the Republic of Lithuania in order to effectively resolve the issues raised in the whistleblower's complaint.

I agree:
(name, surname)

.....
(signature)

I disagree:
(name, surname)

.....
(signature)

I understand that I have the right to be forgotten at any time in accordance with applicable law *, i.e. to request to cancel my consent to the processing of my personal data, and I confirm that I am aware that the right to be forgotten is not an absolute right and may not be exercised in such cases as (1) seeking to exercise my right to freedom of self-expression and information; (2) seeking to comply with a legal obligation imposed by law of the European Union or a Member State which the data controller is subject to and which requires the processing of data, or seeking to complete a task carried out for the sake of the public interest, or in the exercise of public functions delegated to the data controller; 3) for archiving purposes for the sake of the public interest, scientific or historical research or statistical purposes; 4) seeking to file, enforce or defend legal requirements.

.....
(name, surname)

.....
(signature)

My provided information and other collected complaint-related information necessary to make an Ombudsperson's decision may be used for research purposes (such as publishing and otherwise announcing research results), ensuring my anonymity. The Office shall ensure that the investigator(s) undertake to respect the principles of confidentiality and ethics of research and publication.

I agree:
(name, surname) (signature)

I disagree:
(name, surname) (signature)

* Regulation (EU) 2016/679 of the European Parliament and of the Council on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation), available at <https://eurlex.europa.eu/legal-content/LT/TXT/?uri=celex%3A32016R0679>.